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IMPORTANT NOTICE TELECOPY/FACSIMILE COVER LETTER

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TO: U.S. Patent and Trademark Office
Examiner: Akm E. Ullah
Art Unit: 2874

DATE: February 10, 2004

FROM: Lawrence J. McClure

TIME: _____

TOTAL NO. OF PAGES, INCLUDING COVER: 7

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MESSAGE:

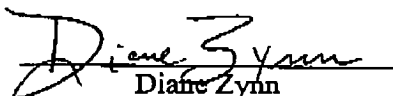
RE: U.S. Patent Application Serial No.:09/843,002; Our Ref. 81870.0018

I hereby certify that the following documents:

- Amendment/Amendment Transmittal Letter
- Statement of Common Ownership

are being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, for filing in the above application.

February 10, 2004


Diane Zynn

TELECOPY/FAX NUMBER: 703-872-9318

CLIENT NUMBER: 81870.0018

ATTORNEY BILLING NUMBER: 1966

CONFIRMATION NUMBER: (571)272-2361 (please return fax to Diane Zynn)

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FORM PTO-1083

Attorney Docket No. 81870.0018
Patent Application No. 09/843,002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yuji KISHIDA, et al.

Serial No: 09/843,002

Filed: April 25, 2001

For: OPTICAL MODULE AND CARRIER FOR OPTICAL
MODULE (Amended)

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

Art Unit: 2874

Examiner: Akm E. Ullah

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is being transmitted via facsimile to
(703) 872-9318

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 on

February 10, 2004

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Name

Signature

02/10/04
Date

- ☒ A Statement of Common Ownership is enclosed.
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	19	-	20	0	LG=\$18 SM=\$9	\$0
INDEPENDENT CLAIMS FEE	5	-	5	0	LG=\$84 SM=\$42	\$84
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$
Independent Claims: 1, 6, 11, 12, 19					TOTAL	\$ 0

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge the fee of \$ for the additional claim fees to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☐ Please charge the fee of \$ for the extension of time to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By:

Lawrence J. McClure
Registration No. 44,228
Attorney for Applicant(s)

Date: February 10, 2004

Biltmore Tower
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213 337-6700
Facsimile: 213 337-6701

Appl. No. 09/843,002
Customer No.: 26021

Attorney Docket No. 81870.0018
Reply to Office Action of November 18, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
YUJI KISHIDA et al.
Serial No: 09/843,002
Confirmation No.: 7882
Filed: April 25, 2001
For: OPTICAL MODULE AND CARRIER
FOR OPTICAL MODULE (Amended)

Art Unit: 2874
Examiner: Akm E. Ullah

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P.O. Box 1450
Alexandria, VA 22313-1450 on

February 10, 2004

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Diane Zynn

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02/10/04

Date

STATEMENT CONCERNING COMMON
OWNERSHIP UNDER 35 U.S.C. § 103(C)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In the Office Action dated November 18, 2003, claims 1-5 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Setoguchi (U.S. Patent No. 6,467,972) in view of Saito (U.S. Patent No. 6,377,385). The present application and Setoguchi (U.S. Patent No. 6,467,972) were, at the time the invention of the present application was made, either owned by, or subject to an obligation of assignment to Kyocera Corporation.

If there are any fees due in connection with the filing of this response, please charge the fees to our Deposit Account No. 50-1314.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: February 10, 2004

By:

Lawrence J. McClure
Lawrence J. McClure
Registration No. 44,228
Attorney for Applicant(s)

500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
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Appl. No. 09/843,002
Amdt. Dated February 10, 2004
Reply to Office Action of November 18, 2003

Attorney Docket No. 81870.0018
Customer No.: 26021

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
YUJI KISHIDA et al.

Serial No: 09/843,002

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Alexandria, VA 22313-1450 on

February 10, 2004

Date of Deposit

Diane Zynn

Name

Signature

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Date

AMENDMENT

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated November 18, 2003, please consider the
following remarks:

Remarks/Arguments begin on page 2 of this paper.